

Math 246C Lecture 27 Notes

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1 L^2 -Estimates for the $\bar{\partial}$ -Operator: The Density Lemma

1.1 The density lemma

In solving our $\bar{\partial}$ problem, we have

$$L^2(\Omega, e^{-\varphi_1}) \xrightarrow{T} L^2_{(0,1)}(\Omega, e^{-\varphi_2}) \xrightarrow{S} L^2_{(0,2)}(\Omega, e^{-\varphi_3}).$$

We want to show that

$$\|f\|_{\varphi_2} \leq C(\|T^*f\|_{\varphi_1}^2 + \|Sf\|_{\varphi_3}^2), \quad \forall f \in D(T^*) \cap D(S).$$

We had the following lemma:

Lemma 1.1 (Density lemma). *Let (η_ν) be a sequence in $C_0^\infty(\Omega)$ such that $0 \leq \eta_\nu \leq 1$ and such that for any compact $K \subseteq \Omega$, $\eta_\nu = 1$ on K for all large ν . Assume that*

$$e^{-\varphi_{j+1}} |\bar{\partial} \eta_\nu|^2 \leq C e^{-\varphi_j}, \quad \forall \nu, j = 1, 2.$$

Then $C_{0,(0,1)}^\infty(\Omega)$ is dense in $D(T^) \cap D(S)$ with respect to the graph norm.*

Proof. Step 1: Suppose $f \in D(T^*) \cap D(S)$ has compact support. Approximate by $f * \psi_\varepsilon$, where $\psi_\varepsilon(z) = \varepsilon^{-2n} \psi(z/\varepsilon)$ and $\psi \in C_0^\infty$.

Step 2: Let $f \in D(T^*) \cap D(S)$. We claim that $\eta_j f \in D(T^*) \cap D(S)$. To show that $\eta_j f \in D(S)$,

$$\bar{\partial}(\eta_j f) = \underbrace{\eta_j \bar{\partial} f}_{\in L^2_{\varphi_3}} + \underbrace{\bar{\partial} \eta_j \wedge f}_{\in L^2_{\varphi_3}}.$$

To show that $\eta_j f \in D(T^*)$, consider for $u \in D(T)$,

$$\langle Tu, \eta_j f \rangle_{\varphi_2} = \langle \eta_j Tu, f \rangle_{\varphi_2}$$

Observe that $\eta_j T u = \eta_j \bar{\partial} u = \bar{\partial}(\eta_j u) - u \bar{\partial} \eta_j$, where $\eta_j u \in D(T)$.

$$\begin{aligned} &= \langle T(\eta_j u), f \rangle_{\varphi_2} - \int u \langle \bar{\partial} \eta_j, f \rangle e^{-\varphi_2} \\ &= \langle u, \eta_j T^* f \rangle_{\varphi_1} - \langle u, e^{\varphi_1 - \varphi_2} \langle \bar{\partial} \eta_j, f \rangle \rangle_{\varphi_1}. \end{aligned}$$

So

$$T^*(\eta_j f) = \eta_j T^* f - e^{-\varphi_1 - \varphi_2} \langle \bar{\partial} \eta_j, f \rangle.$$

We now check that $\eta_j f \rightarrow f$ in the graph norm.

1. $\eta_j f \rightarrow f$ in $L^2_{\varphi_2}$: This follows by the dominated convergence theorem.
2. $S(\eta_j f) \rightarrow S f$ in $L^2_{\varphi_3}$: We have

$$S(\eta_j f) = \bar{\partial}(\eta_j f) = \underbrace{\eta_j S f}_{\substack{\in L^2_{\varphi_3} \\ \rightarrow S f \text{ in } L^2_{\varphi_3}}} + \underbrace{\bar{\partial} \eta_j \wedge f}_{\rightarrow 0 \text{ in } L^2_{\varphi_3}}$$

So we get that

$$\int \underbrace{|\bar{\partial} \eta_j|^2 e^{-\varphi_3}}_{\leq e^{-\varphi_2}} |f|^2 \rightarrow 0$$

by the dominated convergence theorem.

3. $T^*(\eta_j f) \rightarrow T^* f$ in $L^2_{\varphi_1}$ is similar. □

1.2 Applying the lemma

Now let $\psi \in C^\infty(\Omega)$ be given by the locally finite sum

$$e^\psi = 1 + \sum_{\nu=1}^{\infty} |\bar{\partial} \eta_\nu|^2.$$

Let $\varphi_j = \varphi + (j-3)\psi$ for $j = 1, 2, 3$ (φ is to be chosen). With this choice of weights, we can satisfy the hypotheses of the density lemma.

We will now study our estimate

$$\|f\|_{\varphi_2}^2 \leq C(\|T^* f\|_{\varphi_1}^{\textcircled{a}} + \|S f\|_{\varphi_2}^2), \quad f \in C_0^\infty.$$

Recall the formula for T^* :

$$T^* f = -e^{\varphi_1} \sum_{j=1}^{\infty} \partial_{z_j} (f_j e^{-\varphi_2}) = -e^{\varphi - 2\psi} \sum_{j=1}^{\infty} \partial_{z_j} (f_j e^{\psi - \varphi}).$$

Then

$$e^\psi T^* f = - \sum \delta_j f_j - \sum f_j \partial_{z_j} \psi, \quad \delta_j := \partial_{z_j} - \partial_{z_j} \varphi.$$

Here, $-\delta_j$ is the adjoint of $\partial_{\bar{z}_j}$ in L_φ^2 .

Consider

$$\|T^* f\|_{\varphi_1}^2 = \int |T^* f|^2 e^{-\varphi+2\psi} = \|e^\psi T^* f\|_\varphi^2.$$

Then, using Cauchy-Schwarz or the triangle inequality,

$$\begin{aligned} \left\| \sum \delta_j f_j \right\|_\varphi^2 &= \|e^\psi T^* f + \langle f, \partial \psi \rangle\|_\varphi^2 \\ &\leq 2\|T^* f\|_{\varphi_1}^2 + 2 \int |\langle t, \partial \psi \rangle|^2 e^{-\varphi}. \end{aligned}$$

Compute $\|Sf\|_{\varphi_3}^2$:

$$Sf = \bar{\partial} f = \sum_{j < k} \left(\frac{\partial d_k}{\partial \bar{z}_j} - \frac{\partial f_j}{\partial \bar{z}_k} \right) d\bar{z}_j \wedge d\bar{z}_k.$$

So

$$\begin{aligned} \|Sf\|_{\varphi_3}^2 &= \sum_{j < k} \int \left| \frac{\partial f_k}{\partial \bar{z}_j} - \frac{\partial f_j}{\partial \bar{z}_k} \right|^2 e^{-\varphi} \\ &= \frac{1}{2} \sum_{j, k} \int \left| \frac{\partial f_k}{\partial \bar{z}_j} - \frac{\partial f_j}{\partial \bar{z}_k} \right|^2 e^{-\varphi} \\ &= \int \sum_{j, k} \left| \frac{\partial f_k}{\partial \bar{z}_j} \right|^2 e^{-\varphi} - \left(\sum_{j, k} \frac{\partial f_j}{\partial \bar{z}_k} \overline{\frac{\partial f_k}{\partial \bar{z}_j}} \right) e^{-\varphi} \end{aligned}$$

Add $\|Sf\|_{\varphi_3}^2$ to both sides of the inequality. We get the following estimate:

$$\left\| \sum \delta_j f_j \right\|_\varphi^2 - \sum_{j, k} \langle \partial_{\bar{z}_k} f_j, \partial_{\bar{z}_j} f_k \rangle_\varphi \leq 2\|T^* f\|_{\varphi_1}^2 + 2 \int |\langle f, \partial \psi \rangle|^2 e^{-\varphi} + \|Sf\|_{\varphi_3}^2.$$

The main point of the argument is that

$$\begin{aligned} \langle \delta_j f_j, \delta_k f_k \rangle_\varphi - \langle \partial_{\bar{z}_k} f_j, \partial_{\bar{z}_j} f_k \rangle_\varphi &= - \langle \partial_{\bar{z}_k} \delta_j f_j, f_k \rangle_\varphi + \langle \delta_{z_j} \partial_{\bar{z}_k} f_j, f_k \rangle_\varphi \\ &= \langle [\delta_{z_j}, \partial_{\bar{z}_k}] f_j, f_k \rangle_\varphi. \end{aligned}$$

The commutator equals

$$[\partial_{z_j} - \partial_{z_j}\varphi, \partial_{\bar{z}_k}] = \frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k}.$$

So the lower bound becomes

$$\int \sum_{j,k} \frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k} f_j f_k e^{-\varphi},$$

where $\frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k}$ is the Levi form of $\varphi(f)$. Now we can choose φ to be plurisubharmonic.

We will conclude our discussion next time.